



PTO/SB/08 (2-92)

Sheet 1 of 4

Form PTO-144

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 226272007801

Application Number 10/089,394

Applicant

Haifeng CHEN and Gary KURTZMAN

Filing Date March 29, 2002

Group Art Unit To Be Assigned 1636

Mailing Date May 14, 2002

M. Manich

U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|------------------|-------|----------|----------------------------|
| mm | 1. | 10/11/1994 | 5,354,678 | Lebkowski et al. | | | |
| mm | 2. | 08/19/1997 | 5,658,776 | Flotte et al. | | | |
| mm | 3. | 08/19/1997 | 5,658,785 | Johnson | | | |
| mm | 4. | 05/19/1998 | 5,753,500 | Shenk et al. | | | |
| mm | 5. | 07/14/1998 | 5,780,280 | Lebkowski et al. | | | |
| mm | 6. | 11/17/1998 | 5,837,484 | Trempe et al. | | | |
| mm | 7. | 01/05/1999 | 5,856,152 | Wilson et al. | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|--------------------|---------|-------|----------|--------------------|
| mm | 8. | 03/09/1995 | WO 95/06743 A2, A3 | WIPO | | | |
| mm | 9. | 05/09/1996 | WO 96/13598 A2, A3 | WIPO | | | |
| mm | 10. | 01/03/1997 | WO 97/00326 A1 | WIPO | | | |
| mm | 11. | 02/20/1997 | WO 97/06272 A2, A3 | WIPO | | | |
| mm | 12. | 02/27/1997 | WO 97/06826 A1 | WIPO | | | |
| mm | 13. | 12/31/1997 | WO 97/49824 A1 | WIPO | | | |
| mm | 14. | 02/19/1998 | WO 98/06864 A2, A3 | WIPO | | | |
| mm | 15. | 06/25/1998 | WO 98/27204 A2, A3 | WIPO | | | |
| mm | 16. | 10/22/1998 | WO 98/46728 A1 | WIPO | | | |
| mm | 17. | 04/01/1999 | WO 99/15685 A1 | WIPO | | | |

OTHER DOCUMENTS

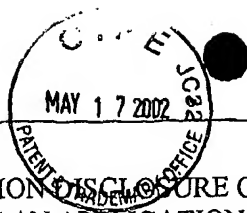
(including author, title, Date, Pertinent Pages, Etc.)

EXAMINER: M. Manich

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1/7/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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|--|---|------------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | Docket Number 226272007801 | Application Number 10/089,394 |
| | Applicant Haifeng CHEN and Gary KURTZMAN | |
| | Filing Date March 29, 2002 | Group Art Unit To Be Assigned 1636 |
| | Mailing Date May 14, 2002 | |

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| mm | 34. | Jones, S. N. and Shenk, T. (January 1978). "Isolation of Deletion and Substitution Mutants of Adenovirus Type 5," <i>Cell</i> 13(1):181-188. |
| mm | 35. | Kyöstiö, S.R. M. et al. (November 1995). "Negative Regulation of the Adeno-Associated Virus (AAV) P ₅ Promoter Involves Both the P ₅ Rep Binding Site and the Consensus ATP-Binding Motif of the AAV Rep68 Protein," <i>J. Virol.</i> 69(11):6787-6796. |
| mm | 36. | Li, J. et al. (July 1997). "Role for Highly Regulated Rep Gene Expression in Adeno-Associated Virus Vector Production," <i>J. of Virol.</i> 71(7):5236-5243. |
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| mm | 40. | Miyatake, S. I. et al. (July 1997). "Transcriptional Targeting of Herpes Simplex Virus for Cell-Specific Replication," <i>J. of Virol.</i> 71:5124-5132. |
| mm | 41. | No, D. et al. (April 1996). "Ecdysone-Inducible Gene Expression in Mammalian Cells and Transgenic Mice," <i>Proc. Natl. Acad. Sci.</i> 93:3346-3351. |
| mm | 42. | Pelletier, J and Sonenberg, N. (July 1988). "Internal Initiation of Translation of Eukaryotic mRNA Directed by A Sequence Derived From Poliovirus RNA. <i>Nature</i> 324:320-325. |
| mm | 43. | Piccioli et al. (July 1991). "Neuroantibodies: Molecular Cloning of a Monoclonal Antibody Against Substance P for Expression in the Central Nervous System," <i>Proc. Natl. Acad. Sci.</i> 88:5611-5615. |
| mm | 44. | Piccioli, P. et al. (August 1995). "Neurantibodies: Ectopic Expression of a Recombinant Anti-Substance P Antibody in the Central Nervous System of Transgenic Mice," <i>Neuron.</i> 15:373-384. |
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| mm | 47. | Stein, G. S. et al. (1997). "The Osteocalcin Gene: A Model for Multiple Parameters of Skeletal-Specific Transcriptional Control," <i>Mol. Biol. Rep.</i> 24:185-196. |
| mm | 48. | Wang, X. S. and Srivastava, A. (June 1998). "Rescue and Autonomous Replication of Adeno-Associated Virus Type 2 Genomes Containing Rep-Binding Site Mutations in the Viral P ₅ Promotor," <i>J. of Virol.</i> 72(6):4811-4818. |
| mm | 49. | Wang, Y. et al. (March 1997). "Ligand -Inducible and Liver-Specific Target Gene Expression in Transgenic Mice," <i>Nat. Biotech.</i> 15:239-243. |
| mm | 50. | Wang, Y. et al. (1997). "Positive and Negative Regulation of Gene Expression in Eukaryotic Cells with an Inducible Transcriptional Regulator," <i>Gene Ther.</i> 4:432-441. |

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| EXAMINER: M. Marich | DATE CONSIDERED: 1/7/04 |
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PTO/SB/08 (2-92)

Sheet 4 of 4

Form PTO-1449

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Group Art Unit To Be Assigned *h36*Mailing Date May *14* 2002

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| <i>mm</i> | 51. | Weitzman, M. D. et al. (March 1996). "Recruitment of Wild-Type and Recombinant Adeno-Associated Virus into Adenovirus Replication Centers," <i>J. of Virol.</i> 70(3):1845-1854. |
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